

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR § 1.56, § 1.97, and § 1.98 FORM PTO-1449		APPLICATION SERIAL NO.: 10/645,473	ATTY DKT NO.: 38349-0121	CONFIRM NO.: 8003
		APPLICANTS: Hendrickson <i>et al.</i>	CUSTOMER NO.: 24961	
Sheet 1 of 11		FILING DATE: August 20, 2003	GROUP ART UNIT: 2855	

U.S. PATENT DOCUMENTS						
† EX'R INITIAL	REF. #	PATENT NUMBER	DATE	NAME	U.S. CLASS/ SUBCLASS	FILING DATE (if appropriate)
AD	A	2003/0018327 (A1)	01/23/03	Truckai <i>et al.</i>	606/32	07/18/02
	B	2003/0018344 (A1)	01/23/03	Kaji <i>et al.</i>	606/130	07/19/02
	C	2003/0050648 (A1)	03/13/03	Alfemess <i>et al.</i>	606/108	09/11/01
	D	2003/0083671 (A1)	05/01/03	Rimbaugh <i>et al.</i>	606/108	10/25/01
	E	2003/0181922 (A1)	09/25/03	Alfemess	606/108	03/20/02
	F	2003/0183235 (A1)	10/02/03	Rimbaugh <i>et al.</i>	128/207.15	04/17/03
	G	2002/0138135 (A1)	09/26/02	Duerig <i>et al.</i>	623/1.24	03/21/01
	H	2002/0112729 (A1)	08/22/02	DeVore <i>et al.</i>	128/207.15	02/21/01
	I	2002/0111620 (A1)	08/15/02	Cooper <i>et al.</i>	606/41	09/04/01
	J	2002/0111619 (A1)	08/14/02	Keast <i>et al.</i>	606/41	09/04/01
	K	2002/0095209 (A1)	07/18/02	Zadno-Azizi <i>et al.</i>	623/1.24	02/08/02
	L	2002/0087153 (A1)	07/04/02	Roschak <i>et al.</i>	606/27	09/04/01
	M	2002/0077698 A1	06/20/02	Zadno-Azizi <i>et al.</i>	623/1.24	02/21/02
	N	2002/0077593 (A1)	06/20/02	Perkins <i>et al.</i>	604/96.01	02/11/02
	O	2002/0062120 (A1)	05/23/02	Perkins <i>et al.</i>	604/516	12/13/01
	P	2002/0026233 (A1)	02/28/02	Shaknovich	623/1.24	03/20/01
	Q	2002/0007831 (A1)	1/24/02	Davenport <i>et al.</i>	128/200.24	07/18/01
	R	2001/0056274 (A1)	12/27/01	Perkins <i>et al.</i>	604/516	07/02/01
	S	2001/0052344 (A1)	12/20/01	Doshi	128/207	06/14/01
▼	T	2001/0051799 (A1)	12/13/01	Ingenito	604/516	04/20/01
	U	2001/0041905 (A1)	11/15/01	Gonzalez	606/191	07/24/01
AD	V	2001/0037808 A1	11/08/01	Deem <i>et al.</i>	128/200.24	03/02/01

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/Annette Dixon/ (10/12/2006)	

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		10/645,473	38349-0121	8003
		APPLICANTS: Hendricksen et al.	CUSTOMER NO.: 24961	
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U.S. PATENT DOCUMENTS						
AD	W	2001/0025132 (A1)	09/27/01	Alferness et al.	600/37	02/09/01
•	X	2,981,254	04/25/61	Vanderbilt	128/350	11/12/57
•	Y	3,657,744	04/25/72	Ersek	128/334	05/08/70
•	Z	3,788,327	01/29/74	Donowitz et al.	128/350	03/30/71
•	AA	3,874,388	04/01/75	King et al.	128/334	02/12/73
•	AB	4,014,318	03/29/77	Dockum et al.	128/1	05/22/75
•	AC	4,086,665	05/02/78	Poirier	623/1	12/16/76
•	B	4,212,463	07/15/80	Replinski et al.	273/418	02/17/78
•	AD	4,250,873	02/17/81	Bonnet	600/104	04/17/78
•	AE	4,302,854	12/01/81	Runge	3/1.7	06/04/80
•	AF	4,477,930	10/23/84	Totten et al.	3/1.5	09/28/82
•	AG	4,710,192	12/01/87	Liotta et al.	623/1	10/17/86
•	AH	4,732,152	03/22/88	Wallsten et al.	128/343	12/5/85
•	AI	4,759,758	07/26/88	Gabbay	623/2	12/07/84
•	AJ	4,795,449	01/03/89	Schneider et al.	604/329	08/04/86
•	AK	4,808,183	02/28/89	Panje	623/9	06/03/80
•	AL	4,819,664	04/11/89	Nazari	128/207.15	02/17/88
•	AM	4,830,003	05/16/89	Wolff et al.	128/343	06/17/88
•	AN	4,832,680	05/23/89	Haber et al.	600/31	07/03/86
•	AO	4,846,836	07/11/89	Reich	623/11	10/03/88
•	AP	4,850,999	07/25/89	Planck	623/1	05/26/81
•	AQ	4,852,568	08/01/89	Kensey	128/325	12/28/87

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR § 1.56, § 1.97, and § 1.98 FORM PTO-1449 Sheet 3 of 11		APPLICATION SERIAL NO.: 10/645,473	ATTY DKT NO.: 38349-0121	CONFIRM NO.: 8003
		APPLICANTS: Hendrickson et al.	CUSTOMER NO.: 24961	
		FILING DATE: August 20, 2003	GROUP ART UNIT: 2855	

U.S. PATENT DOCUMENTS						
AD	AR	Patent Number	Issue Date	Assignee	Examiner	Date Considered
	AS	4,877,025	10/31/89	Hanson	128/107.16	10/06/88
	AT	4,934,999	06/19/90	Bader	600/29	07/28/88
	AU	4,968,294	11/06/90	Salama	600/30	02/09/89
	AV	5,061,274	10/29/91	Kensey	606/213	12/04/89
	AW	5,116,360	05/26/92	Pinchuk et al.	623/1	12/27/90
	AX	5,116,564	05/26/92	Jansen et al.	264/255	10/10/89
	AY	5,123,919	06/23/92	Sauter et al.	623/2	06/23/92
	AZ	5,151,105	09/29/92	Kwan-Gett	623/1	10/07/91
	BA	5,181,524	11-92	Evans	128/203.15	
	BB	5,306,234	04/26/94	Johnson	604/49	03/23/93
	BC	5,352,240	10/04/94	Ross	623/2	05/31/89
	BD	5,358,518	10/25/94	Camilli	623/2	01/25/93
	BE	5,366,478	11/22/94	Brinkerhoff et al.	660/213	07/27/93
	BF	5,382,261	01/17/95	Palmaz	606/158	09/01/92
	BG	5,392,775	02/28/95	Adkins et al.	128/207.16	03/22/94
	BH	5,409,019	04/25/95	Wilk	128/898	11/03/93
	BI	5,411,507	05/02/95	Heckele	606/108	01/05/94
	BJ	5,411,552	05/02/95	Andersen et al.	623/2	06/14/94
	BK	5,413,599	05/09/95	Imachi et al.	623/2	12/13/93
	BL	5,417,228	05/23/95	Juma	128/885	06/09/94
	BM	5,445,626	08/29/95	Gigante	604/349	06/03/94
AD	BM	5,486,154	01/23/96	Kelleher	600/104	06/08/93

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		APPLICANTS: Hendrickson et al.	CUSTOMER NO.: 24961	
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U.S. PATENT DOCUMENTS						
AD	BN	Patent Number	Issue Date	Assignee	Examiner	Entered Date
	BO	5,499,995	03/19/96	Teirstein	606/192	05/25/94
	BP	5,500,014	03/19/96	Quijano et al.	623/2	05/09/96
	BQ	5,562,608	10/08/96	Sekins et al.	604/20	04/13/95
	BR	5,645,565	07/08/97	Rudd et al.	606/213	06/13/93
	BS	5,660,175	08/26/97	Dayal	128/207.15	08/21/95
	BT	5,662,713	09/02/97	Andersen et al.	623/12	09/14/95
	BU	5,683,451	11/04/97	Lenker et al.	623/1	06/07/95
	BV	5,697,968	12/16/97	Rogers et al.	623/1	08/10/95
	BW	5,755,770	5/26/98	Ravenscroft	623/1	01/31/95
	BX	5,800,339	09/01/98	Salama	600/29	05/02/97
	BY	5,840,081	11/24/98	Andersen et al.	623/2	02/19/97
	BZ	5,851,232	12/22/98	Lois	623/1	3/13/97
	CA	5,855,587	01/05/99	Hyon et al.	606/188	08/22/96
	CB	5,855,597	01/05/99	Jayaraman	623/1	05/07/97
	CC	5,855,601	01/05/99	Bessler et al.	623/2	06/21/96
	CD	5,855,738	08/31/99	Amplatz et al.	606/213	02/06/98
	CE	5,855,997	09/07/99	Pavcnik et al.	606/213	06/30/97
	CF	5,954,766	09/21/99	Zadno-Azizi et al.	623/2	09/16/97
	CG	5,957,949	09/28/99	Leonhardt et al.	606/194	05/01/97
	CH	5,976,174	11/02/99	Ruiz	606/213	12/15/97
	AD	6,007,575	11/16/99	Knapp et al.	623/12	08/28/97
	CI			Samuels	623/1	06/06/97

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U.S. PATENT DOCUMENTS						
AD	CJ	Patent Number	Filing Date	Examiner	29/516	04/21/98
	CK	6,020,380	02/01/00	Killian	514/570	11/25/98
	CL	6,022,312	02/08/00	Chaussy et al.	600/29	02/20/98
	CM	6,027,525	02/22/00	Suh et al.	623/1	05/23/97
	CN	6,051,022	04/18/00	Cai et al.	623/2	12/30/98
	CO	6,068,635	05/30/00	Gianotti	606/108	06/04/98
	CP	6,068,638	05/30/00	Makower	606/159	10/27/98
	CQ	6,077,291	06/20/00	Das	606/213	11/26/96
	CR	6,083,255	07/04/00	Laufer et al.	607/96	12/19/97
	CS	6,123,663	09/26/00	Rebuffat	A61F2/00	12/23/98
	CT	6,135,729	10/24/00	Aber	417,420	07/09/99
	CU	6,135,991	10/24/00	Muni et al.	604/509	03/27/98
	CV	6,141,855	11/07/00	Morales	29/516	04/28/98
	CW	6,162,245	12/19/00	Jayaraman	623/1.15	01/05/99
	CX	6,168,614 (B1)	01/02/01	Andersen et al.	623/1	02/20/98
	CY	6,174,323 (B1)	01/16/01	Biggs et al.	606/232	06/05/98
	CZ	6,183,520 (B1)	02/06/01	Pintauro et al.	623/23.64	11/10/98
	DA	6,200,333 (B1)	03/13/01	Laufer	607/96	12/31/98
	DB	6,206,918 (B1)	03/27/01	Campbell et al.	623/2.32	05/12/99
	DC	6,234,996 (B1)	05/22/01	Bagaisan et al.	604/97.01	06/23/99
	DD	6,240,615 (B1)	06/05/01	Kimes et al.	29/516	09/30/99
↓	DE	6,245,102 (B1)	06/12/01	Jayaraman	623/1.15	07/21/99
	DF	6,258,100	07/11/01	Alferness et al.	606/108	10/10/00
AD	DG	6,270,527 (B1)	08/07/01	Campbell et al.	623/2.18	10/16/98

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			24961	
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U.S. PATENT DOCUMENTS						
AD	DH	6,287,290 (B1)	09/11/01	Perkins et al.	604/516	07/02/99
	DI	6,293,951 (B1)	09/25/01	Alfemess et al.	606/108	08/24/99
	DJ	6,302,893 (B1)	10/16/01	Limon et al.	606/108	06/18/00
	DK	6,312,407 (B1)	11/06/01	Zadno-Azizi et al.	604/103.03	02/19/98
	DL	6,325,777 (B1)	12/04/01	Zadno-Azizi et al.	604/97.01	10/01/99
	DM	6,325,778 (B1)	12/04/01	Zadno-Azizi et al.	604/99.02	01/01/99
	DN	6,327,772 (B1)	12/11/01	Zadno-Azizi et al.	29/557	04/13/99
	DO	6,328,689 (B1)	12/11/01	Gonzalez	600/37	03/23/00
	DP	6,355,014 (B1)	03/12/02	Zadno-Azizi et al.	604/99.02	03/13/98
	DQ	6,398,775 (B1)	06/04/02	Perkins et al.	604/514	10/21/99
	DR	6,402,754 (B1)	06/11/02	Gonzalez	606/69	10/20/99
	DS	6,416,554 (B1)	07/09/02	Alfemess et al.	623/23.65	08/24/99
	DT	6,458,076	10/01/02	Pruitt	600/146	02/01/00
	DU	6,485,407 (B2)	11/26/02	Alfemess et al.	600/37	02/09/01
	DV	6,491,706 (B1)	12/10/02	Alfemess et al.	606/157	07/10/01
	DW	6,493,589 (B1)	12/10/02	Medhkour et al.	607/99	08/10/00
	DX	6,510,846 (B1)	01/28/03	O'Rourke	128/200.21	10/26/00
AD	DY	6,527,761 (B1)	03/04/03	Soltesz et al.	604/516	10/27/00

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		APPLICANTS: Hendrickson et al.	CUSTOMER NO.: 24961	
		FILING DATE: August 20, 2003	GROUP ART UNIT: 2855	

FOREIGN PATENT DOCUMENTS					
† EX'R INITIAL	REF. #	Patent Number	Date	Country	TRANSLATION (YES/NO)
AD	DZ	00/15149	03/23/00	PCT	
*	EA	00/42950	07/27/00	PCT	
*	EB	00/51510	09/08/00	PCT	
*	EC	00/62699	10/26/00	PCT	
*	ED	00/78386 (A1)	12/28/00	PCT	
*	EE	00/78407 (A1)	12/28/00	PCT	
*	EF	01/02042 (A1)	01/11/01	PCT	
*	EG	01/03642 (A1)	01/18/01	PCT	
*	EH	01/05334 (A1)	01/25/01	PCT	
*	EI	01/10313 (A1)	02/15/01	PCT	
*	EJ	01/10314 (A2)	02/15/01	PCT	
*	EK	01/12104 (A1)	02/22/01	PCT	
*	EL	01/13839 (A1)	03/01/01	PCT	
*	EM	01/13908 (A2)	03/01/01	PCT	
*	EN	01/28433 (A1)	04/26/01	EP	
▼	EO	01/45590 (A2)	06/28/01	PCT	
AD	EP	01/49213 (A2)	07/12/01	PCT	

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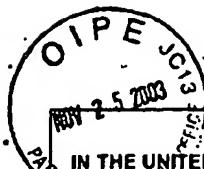
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		APPLICANTS: Hendrickson et al.	CUSTOMER NO.: 24961	
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FOREIGN PATENT DOCUMENTS				
AD	EQ	01/54585 (A1)	08/02/01	PCT
	ER	01/54625 (A1)	08/02/01	PCT
	ES	01/54685 (A1)	08/02/01	PCT
	ET	01/66190 (A2)	09/13/01	PCT
	EU	01/74271 (A1)	10/11/01	PCT
	EV	01/87170 (A1)	11/22/01	PCT
	EW	01/89366 (A2)	11/29/01	PCT
	EX	01/95786 (A2)	12/20/01	PCT
	EY	02/05884 (A2)	1/24/02	PCT
	EZ	02/22072 (A2)	03/21/02	PCT
	FA	02/32333 (A1)	04/25/02	PCT
	FB	02/34322 (A2)	05/02/02	PCT
	FC	02/38038 (A2)	05/16/02	PCT
	FD	02/47575 (A2)	06/20/02	PCT
	FE	02/056794 (A2)	07/25/02	PCT
	FF	02/084045 (A1)	08/22/02	PCT
	FG	02/064190 (A2, A3)	08/22/02	PCT
	FH	02/069823 (A2, A3)	09/12/02	PCT
AD	FI	02/094087 (A1)	11/28/02	PCT

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FOREIGN PATENT DOCUMENTS				
AD	FJ	03/022124 (A2)	03/20/03	PCT
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EXAMINER'S SIGNATURE	DATE CONSIDERED
/Annette Dixon/ (10/12/2006)	

† EXAMINER: Initial if reference is considered, whether or not citation is in conformance with MPEP 609. Line through citation if not in conformance and not considered. *Include copy of this form in next communication to applicant.*

* If an asterisk is placed beside the reference number, a copy is not provided because the reference was previously cited by or submitted to the PTO in a prior application that is identified in the statement and relied upon for an earlier filing date under 35 U.S.C. 120. 37 C.F.R. 1.98(d).

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INFORMATION DISCLOSURE STATEMENT
UNDER 37 CFR § 1.56, § 1.97, and § 1.98
FORM PTO-1449

Sheet 10 of 11

APPLICATION SERIAL NO.:	ATTY DKT NO.:	CONFIRM NO.:
10/645,473	38349-0121	8003
APPLICANTS: Hendrickson et al.	CUSTOMER NO.: 24961	
FILING DATE: August 20, 2003	GROUP ART UNIT: 2855	

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AD	GK	99/64109 (A1)	12/16/99	PCT	

† English Language Derwent is enclosed.

‡ English Language Equivalent is enclosed.

OTHER DOCUMENTS

† EXR INITIAL	REF. #	CITATION (Author, Article Title, Journal/Book Title, Date, Pertinent Pages, etc.)
AD	GL	Al Jishi et al., "Selective Bronchial Occlusion for Treatment of Bullous Interstitial Emphysema and Bronchopleural Fistula." <i>J. of Pediatric Surgery</i> , 29:1545-1547, 1994
AD	GM	Article: "Autocath® 100 – Nonsurgical, Intraurethral Bladder Control Device for Incontinent and Retentive Women – Dr. Kulisz's Development"
AD	GN	Derwent citing Russian Patent No. RU 2140211, published 10/27/99, for. "Method of surgical treatment of patients with pathology of respiratory organs complicated with pulmonary hemorrhages"

EXAMINER'S SIGNATURE	DATE CONSIDERED
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11/24/03 2:52 PM (38349.0121G)



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR § 1.56, § 1.97, and § 1.98 FORM PTO-1449		APPLICATION SERIAL NO.: 10/645,473	ATTY DKT NO.: 38349-0121	CONFIRM NO.: 8003
		APPLICANTS: Hendrickson <i>et al.</i>	CUSTOMER NO.: 24961	
Sheet 11 of 11		FILING DATE: August 20, 2003	GROUP ART UNIT: 2855	

AD	GO	Derwent citing Soviet Union Patent No. SU 852-321, published 07/08/81, for: "Treatment for acute pulmonary and pleural disease in children - by pneumo-abcessotomy simultaneous with occlusion of affected lung part"
	GP	Derwent# 007607249 WPI Acc. No: 1988-241181/198834 (citing Russian Application No. SU4026409, published February 21, 1986), Russian Patent No. SU 1371700
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▼	GW	Snider <i>et al.</i> , <u>The Definition of Emphysema</u> : Report of the National Heart Lung and Blood Institute, Division of Lung Diseases Workshop, <i>Am. Rev. Respir. Dis.</i> , 132:182-185, 1985
AD	GX	Woodring <i>et al.</i> , "Pneumothorax ex Vacuo", <i>CHEST</i> , 100:1102-1124, 1996

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